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- (71) Applicant: **ONDEO NALCO COMPANY** [US/US]; On-  
deo Nalco Center, Naperville, IL 60563-1198 (US).
- (72) Inventor: **BANKS, Rodney, H.**; 1504 Greenlake Drive,  
Aurora, IL 60504 (US).
- (74) Agent: **KEEFER, Timothy, J.**; Wildman, Harrold, Allen  
& Dixon, 225 West Wacker Drive, Chicago, IL 60606 (US).
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(54) Title: MIRROR FLUOROMETER

(57) Abstract: Described and claimed is a Mirror Fluorometer comprising a rotatable mirror positioned such that it is capable of projecting a converging cone of excitation light onto one or more of the samples wherein the fluorescent signals emitted from flu-  
orophores in the samples is detected. Also claimed is a method of using this Mirror Fluorometer for detecting fluorescent signals  
emitted by one or more fluorophores from samples from a natural or industrial water system. The fluorometer, when coupled with a  
controller is capable of monitoring and optionally controlling an industrial process or system, including a paper mill process.

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## MIRROR FLUOROMETER

FIELD OF THE INVENTION

The present invention relates generally to analytical devices and methods for monitoring and optionally controlling natural or industrial processes or systems. More specifically, the present invention relates to a fluorometer capable of detecting fluorescent signals emitted by one or more fluorophores present in samples from natural or industrial processes or systems. By using this fluorometer it is possible to monitor and optionally control the process or system.

BACKGROUND OF THE INVENTION

A fluorometer is an analytical device that typically contains a light source, a means of selecting the desired excitation wavelength range, a sample cell, a means of selecting the desired emission wavelength range and a detector.

A spectrofluorometer is a specific type of fluorometer where the means for selecting the excitation and/or emission wavelength range is performed by a grating. A grating acts to disperse a continuum of light into its components. Spectrofluorometers may be further subdivided into scanning spectrofluorometers, which are those that use a mechanical means to scan the wavelength spectrum based on the position of the grating relative to the excitation source and/or emission (this describes a standard laboratory model fluorometer), or fixed spectrofluorometers where the grating is fixed with respect to the emission. The emission (fluorescence) is then directed to an array of detectors. The array of detectors could be charge coupled devices, usually

- 5 abbreviated "CCD" or the array of detectors could be photodiodes. The detectors are then calibrated in the appropriate wavelength units. A commercial device such as this is available from Drysdale and Associates, Inc., P.O. Box 44055, Cincinnati, OH 45244 (513) 831-9625.
- 10 This type of fixed spectrofluorometer still requires the appropriate excitation wavelength selection device, which could be a scanning, grating or filter.

The fluorometers that are most suitable for use under field conditions are not grating

15 spectrofluorometers, rather, they are filter-based fluorometers. A filter-based fluorometer uses a filter to exclude all but the selected wavelength range. In general, currently available and known filter-based fluorometers have one channel with this channel

20 containing an optically appropriate cell.

A light source and an optional excitation filter, are positioned on one side of the optically appropriate cell, and an emission detector and an emission filter are positioned on the opposite side of the optically

25 appropriate cell. A reference detector may optionally be present. Because fluorescence is isotropic, most fluorometers detect any fluorescent light emitted from the fluorophore at a 90° angle from the light source in order to minimize collection of any spurious excitation

30 light.

The excitation filter permits light of the chosen excitation wavelength range to pass through the filter and into the cell. When conducting off-line batch testing, a sample of, for example, water from a natural

35 or an industrial water system is placed and held in the optically appropriate cell. When conducting on-line testing the sample of water can flow through the optically appropriate cell. The light is absorbed by a

3

5 fluorophore present in the water sample, which, in turn,  
emits a fluorescent light (hereinafter known as a  
fluorescent signal) having the same or a longer  
wavelength than the excitation light. The emission  
filter, which is positioned between the emission detector  
10 and the optically appropriate cell, is chosen so as to  
permit only the light emitted by the fluorophore (the  
fluorescent signal of the fluorophore) to pass through  
the filter to the emission detector.

One of the known uses of fluorophores in industrial  
15 water systems or in hydrology in general is the use of  
inert fluorescent tracers for determining the hydraulic  
losses in an industrial water system. Furthermore, using  
fluorescent tracers for controlling additive or product  
dosage to a recirculating or once-through cooling water  
20 system is also known (see U.S. Patent No. 4,783,314). In  
this method, a fluorescent tracer is combined with one or  
more additives in a known proportion of tracer to  
additive(s) and then the mixture is added to the water of  
a cooling system. A fluorometer is then used to detect  
25 the presence and concentration of the fluorescent tracer  
in the cooling water and therefore the presence and  
concentration of the amount of additive.

A limitation of currently available fluorometers is that,  
in general, they have only one channel that contains an  
30 optical cell for measuring fluorescence in a single  
process sample (i.e., a one-channel-sample fluorometer).  
Another limitation of currently available fluorometers is  
that the majority of known fluorometers are not suitable  
for measuring fluorescent signal(s) in opaque mediums,  
35 such as opaque slurries, opaque colloids and certain  
opaque Metal Working Fluids.

There exists a need for an fluorometer which is  
capable of monitoring several process samples using a

- 5 single apparatus without having to replace a process sample, and the need for fluorometers capable of measuring fluorescent signals in an opaque medium.

### SUMMARY OF THE INVENTION

- The first aspect of the instant claimed invention is
- 10 a fluorometer comprising:
- an excitation light source for generating a collimated beam of excitation light;
  - a rotatable mirror positioned such that it is capable of accepting a collimated beam of light from said excitation
  - 15 light source and projecting a converging cone of excitation light onto one or more samples;
  - a sample holder comprising one or more channels, wherein each channel is capable of holding an optical cell containing a sample; and
  - 20 a detector capable of detecting the fluorescent signals from fluorophores presents in said one or more samples.

- The second aspect of the instant claimed invention is a fluorometer comprising:
- 25 an excitation light source for generating a collimated beam of excitation light;
- a rotatable mirror positioned such that it is capable of accepting a collimated beam of light from said excitation light source and projecting a converging cone of
  - 30 excitation light onto one or more samples;
  - a sample holder comprising one or more channels, wherein each channel is capable of accepting an optical cell containing a sample;
  - a detector capable of detecting the fluorescent signals
  - 35 from fluorophores presents in said one or more samples;
  - and

5

5 a controller that uses the fluorescent signals detected by said fluorometer for monitoring and/or control of the natural or industrial process from which the samples are taken.

The third aspect of the instant claimed invention is  
10 a method of fluorometrically detecting fluorophores present in one or more samples, the method comprising the steps of:

- a) providing a fluorometer, wherein said fluorometer is described in the first aspect or in the second aspect of  
15 the instant claimed invention;
- b) providing one or more samples from a natural or industrial process stream; and
- c) using said fluorometer to detect the fluorescent signals of said fluorophores in said samples.

20

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a side perspective view of a fluorometer capable of measuring the fluorescent signals in a single sample made in accordance with the present invention.

Figure 2 is a top perspective view of a fluorometer  
25 capable of measuring the fluorescent signals in a single sample made in accordance with the present invention.

Figure 3 is a top perspective view of a fluorometer capable of measuring the fluorescent signals in multiple samples made in accordance with the present invention.

30

#### DETAILED DESCRIPTION OF THE INVENTION

Throughout this patent application the following words have the indicated meanings:

"beam" means a cylindrical projection of multiple rays of light.

5 "collimated" means light rays that are mutually parallel.

"cone" means a projection of multiple rays of light to one focal point.

"converging" means light rays that "come to" or are  
10 "directed to" to the same focal point.

"diverging" means light rays that originate from one point and that are not directed to the same focal point and do not travel in parallel lines.

"fan" means a projection of multiple rays of light  
15 from a point source to an angle up to 180°.

A "fluorophore" is a molecule that, upon absorption of a photon of energy ( $h\nu$ ) that results in an electron being promoted from the molecular electronic ground state ( $S_0$ ) to an electronic excited state ( $S_1$  or  $S_2$  or  $S_3$ ) and  
20 subsequently relaxing to the lowest vibronic state of excited state  $S_1$ , emits a photon of energy "E" ( $h\nu$ ) that is lower in energy (though longer in wavelength) than was absorbed. Note that this relationship can be illustrated with the equation:  $E_{\text{(absorption)}} > E_{\text{(fluorescence)}}$ . This  
25 emission of energy results in the molecular electronic state being returned to the ground state ( $S_0$ ). The overall process results in emission of fluorescent photons in an isotropic distribution. The fluorophores capable of being detected by the instant claimed  
30 fluorometer must be capable of absorbing excitation light in the wavelengths of from about 200 nm to about 1200 nm and emitting it at a longer wavelength than the excitation light.

"Inert" refers to the fact that an inert fluorophore  
35 is not appreciably or significantly affected by any other chemistry in the natural or industrial process, or by the other system parameters such as metallurgical composition, microbiological activity, biocide

5 concentration, heat changes or overall heat content. To  
quantify what is meant by "not appreciably or  
significantly affected", this statement means that an  
inert fluorophore has no more than a 10% change in its  
fluorescent signal, under conditions normally encountered  
10 in the natural or industrial process. Conditions  
normally encountered in natural or industrial processes  
are known to people of ordinary skill in the art of  
natural or industrial processes.

"Isotropic" refers to the fact that if a moiety is  
15 considered a point source, and excitation light is  
directed at the moiety, fluorescent light is emitted  
equally over  $2\pi$  steradians, creating, in effect, a sphere  
in 3 dimensions. Because of the isotropic distribution of  
fluorescent light, in practice, collection of the  
20 fluorescent light signal can occur, for example, at  $90^\circ$   
relative to the excitation (photon) source to minimize  
the photons (light) collected that are attributed to the  
excitation (photon) source. This also helps to minimize  
light scattering.

25 "Nalco" refers to ONDEO Nalco Company, ONDEO Nalco  
Center, 1601 W. Diehl Road, Naperville, IL, (630) 305-  
1000.

"nm" means nanometers; which are  $10^{-9}$  meters.

The present invention provides a fluorometer that is  
30 capable of monitoring, detecting or measuring fluorescent  
light emitted from fluorophores present in one or more  
samples. The fluorometer which includes a rotatable  
mirror that is positioned such that the rotatable mirror  
accepts a collimated beam from the light source and then  
35 the rotatable mirror projects a converging or focused  
beam of excitation light onto each of the samples in  
sequence or in a stepped manner. The emitted converging  
cone of excitation light from the fluorophores present



5 then is accepted by the rotatable mirror which projects a collimated beam of excitation light on through to a detector which detects the fluorescent signal at the selected wavelength of whatever fluorophore is present in the sample. The fluorescent signals subsequently  
10 detected can be further processed such that the fluorometer can be used to monitor and optionally control a process or system.

It has been discovered that the rotatable mirror can facilitate the use and operation of the fluorometer,  
15 particularly where multiple samples are required to be fluorometrically monitored during a given test period. The fluorometer of the present invention includes a sample holder that can be configured to hold one or more of the samples to be tested during a given test period.  
20 Once the samples are loaded into the sample holder, each sample can then be separately or individually tested or analyzed by rotating the mirror to move and project the converging beam from one sample to the next until all of, or a portion of, the samples within the sample holder  
25 have been tested.

In Figure 1 and in Figure 2, the first aspect of the present invention is illustrated. Single-Sample Mirror Fluorometer 10 includes an excitation light source 12 that can transmit excitation light 15 through a series of  
30 filters and lenses to generate a collimated beam of excitation light 17. The filters are used to filter out or exclude all but the selected wavelength range of the excitation light. The lenses are used to focus or collimate the light to adapt to the size and dimension  
35 requirements of the fluorometer components such as the mirrors, the filters, the detectors and the like.

It should be appreciated that if the spectral range of the excitation light source is sufficiently narrow or

5 monochromatic, or the fluorophore stokes shift is significantly large so that there is no spectral overlap between the excitation light spectrum of light source and the emission spectrum of the fluorophore, then the use of an excitation filter is optional.

10 Any number, type and configuration of excitation light sources, lenses and filters can be used to generate collimated beam of excitation light 17. In all aspects of the instant claimed invention, the excitation light source 12 transmits a beam of excitation light 15 to an  
15 excitation dichroic filter 14 through an aspheric lens 16 and an excitation filter 18 as shown in Figure 1 and Figure 2 and Figure 3. Collimated beam of excitation light 17 is then further transmitted through a double concave lens 20 and a plano convex lens 22 to directional  
20 mirror 26. Directional mirror 26 directs collimated beam of excitation light 17 to rotatable mirror 24. Double concave lens 20 and plano convex lens 22 are used to adjust (adjusting in this instance meaning to increase) the size of collimated beam of excitation light 17 before  
25 it is transmitted to rotatable mirror 24.

As further illustrated in Figure 1 and Figure 2 and Figure 3, directional mirror 26 is provided to direct the collimated beam of excitation light 17 to rotatable mirror 24. Directional mirror 26 can be any suitable  
30 mirror, such as a flat mirror. It should be appreciated that the need for directional mirror 26 is optional and depends on the configuration of the fluorometer. It should also be appreciated that the present invention is not limited by the size, type, shape and position of  
35 directional mirror 26 relative to rotatable mirror 24.

The collimated beam of excitation light 17 strikes rotatable mirror 24 all over. An individual intersection point 65 is shown on Figure 1 and Figure 2 to illustrate

10

5 one point where one ray of collimated beam of excitation light 17 strikes rotatable mirror 24. Rotatable mirror 24 then projects onward a converging cone of excitation light 32 which strikes sample 34 and excites fluorophores present in said sample. The fluorophores present in  
10 sample 34 then emit fluorescent light in a diverging fan of emitted light 33. This diverging fan of emitted light 33 then leaves sample 34 and strikes rotatable mirror 24 all over. An individual intersection point 67 is shown on Figure 1 and Figure 2 to illustrate one point where  
15 one ray of diverging fan of emitted light 33 strikes rotatable mirror 24. Rotatable mirror 24 then projects onward a collimated beam of emitted fluorescent light 35 to directional mirror 26. Collimated beam of emitted fluorescent light 35 then travels through plano convex  
20 lens 22 and double concave lens 20 and emission dichroic filter 46.

The sample can emit fluorescent light due to the presence of one or more fluorophores within the sample. Regarding the description of the fluorophores capable of  
25 being detected by the instant claimed fluorometer, it is necessary to note that in order to be detectable by the instant claimed fluorometer, the fluorophore must be capable of absorbing light in the wavelengths of from about 200 nm to about 1200 nm and emitting it at a  
30 slightly longer wavelength. Preferably, the fluorophores absorb light in the wavelengths of from about 350 nm to about 800 nm.

Emission dichroic filter 46 acts to separate the light into emission bands. Each emission band is passed  
35 through a separate emission filter 48 or 50 and from there to a separate plano convex lens 52 or 54 and from there to separate detectors 56 or 58. Each of detector 56 or 58 generates an output signal known as a

5   fluorescent signal representative of the intensity of the  
fluorescence emission band. The output signal can then  
be processed by respective amplifier 60 or 62. Amplifier  
60 and amplifier 62 are shown only in Figure 1. Both  
amplifier 60 and amplifier 62 are optional. An amplifier  
10   is only used where it is necessary or desirable to  
enhance the fluorescent signal prior to its detection.

In another aspect of the instant claimed invention,  
illustrated in Figure 3, rotatable mirror 24 is  
positioned within opening 28 of sample holder 30 such  
15   that rotatable mirror 24 is capable of rotating to move  
and project converging cone of excitation light 32a,  
converging cone of excitation light 32b or converging  
cone of excitation light 32c to each of Sample One 38,  
Sample Two 41 and Sample Three 43, respectively, as  
20   illustrated in Figure 3. Rotatable mirror 24 can include  
a variety of different mirror sizes, dimensions and  
types. Preferably, rotatable mirror 24 includes an off-  
axis paraboloidal mirror. This type of mirror projects  
converging cone of excitation light 32a onto sample 34 in  
25   an off-axis position relative to rotatable mirror 24,  
thus, maximizing the amount of converging cone of  
excitation light 32a projected onto the sample.

Rotatable mirror 24 can be rotated manually or  
automatically by any suitable mechanism. It is preferred  
30   to rotate the mirror automatically. This can provide for  
more precise and accurate control of the rotation. The  
automation of the rotating mirror can be carried out in  
any suitable way such as by a commercially available  
stepper motor mechanism. The stepper motor mechanism can  
35   be controlled by an on-board or external controller as  
discussed below.

Rotatable mirror 24 can be made to rotate about a 360  
degree axis or along an angular axis that is less than a

12

5 full circle (i.e., 360 degrees). In an embodiment, the samples do not completely surround rotatable mirror 24 such that it would not have to rotate an entire 360 degrees to move and project the converging cone of excitation light 32 onto each of the samples.

10 As previously discussed, rotatable mirror 24 of the present invention enables one to test a number of samples without having to replace and substitute one sample for another after each test run. Rotatable mirror 24 can also facilitate minimizing the ratio of scattered light  
15 to emission or fluorescent light prior to detection of the fluorescent light. In reflectance fluorometry, scattered excitation light is especially a problem with opaque samples. Scattered excitation light is many times more intense than the reflected fluorescence emission.  
20 Thus, it is desirable to suppress the scattered light as much as possible.

The undesirable reflection of excitation light (i.e., scattered light) from the optical cell and sample can be minimized by suitably positioning the focal point  
25 of rotatable mirror 24 on the optical cell such that the majority of the reflected light is cast outside of the collection volume of the mirror. Since the desired fluorescence emission is isotropic, it can be collected at full efficiency, thus giving an increase in the ratio  
30 of emission to scatter.

In particular, this result occurs when the optical cell is a round (i.e., cylindrical) glass tube 34 as shown in Figure 1. For such round tubes, there exists two positions (symmetrically located) on the tube where  
35 the angle between the excitation beam of light and a tangent at the tube surface is such that the collected scattered light is minimized and collected emission light is highest.

5 By decreasing the amount of scattered light that is transmitted to the detector, the signal (the "signal" refers to the fluorescent signal corresponding to the detection of fluorescent light) to noise (the "noise" refers to the fluorescent signal corresponding to scattered light, for example) is maximized. This can provide for a more accurate and precise analysis of the measured fluorescent light without having to manipulate the output signal of the detector to cancel out or minimize the noise.

15 It should be appreciated that rotatable mirror 24 can be placed in any suitable position relative to the samples, particularly with respect to opening 28 of the sample holder 30 (see Figure 3) provided that the mirror can suitably project the converging cone of excitation light onto each of the samples.

Sample holder 30 can include a variety of suitable configurations. As shown in Figure 3, sample holder 30 may have a circular or carousel shape with an opening 28 through which the rotatable mirror 24 is positioned. The samples, Sample One 38, Sample Two 41 and Sample Three 43 are placed in optical cells (not shown in Figure 3) which are held into position by a respective channel 36 positioned outside of opening 28. The optical cell can be any suitable shape, such as cylindrical, rectangular or the like. The optical cell is preferably cylindrically shaped as previously discussed.

It should be appreciated that the optical cell can be constructed as a flow cell (not shown) for use in on-line testing. The flow cell can be constructed and used in any suitable fashion. The preferred configuration is one that includes a flow cell with a ball which will not allow fluid to flow properly through the fluorometer if the fluorometer is inverted. However, should the

5 fluorometer be inverted completely or tilted at any angle between  $0^{\circ}$  and  $360^{\circ}$ , it is still capable of functioning, providing a flow regulator is used that is independent of gravity. Such flow cell regulators are known in the art.

With a flow cell configuration, the fluorometer may  
10 be used to detect or test a number of samples derived from one or more process streams of a system including an industrial water system or the like. The samples can also be taken at various points along the process stream. The fluorometer can be adapted to communicate with a  
15 controller for monitoring and optionally controlling a process or system, such as an industrial or natural water system, particularly when the fluorometer is configured for on-line testing.

It should also be appreciated that the fluorometer  
20 can be adapted to agitate, heat, cool, aerate or perform other useful unit operations upon the samples during testing if the application necessitates such unit operations be applied to the samples.

Figure 3 illustrates the configuration of the  
25 fluorometer when it is used for measuring multiple samples. In Figure 3 rotatable mirror 24 first directs the converging cone of excitation light 32a to Sample One 38 which emits fluorescent light 40 in a diverging fan of emitted light. The fluorescent light 40 is subsequently  
30 collected by the rotatable mirror 24 and then transmitted to one or more detectors as discussed in detail previously. After Sample One 38 has been tested, rotatable mirror 24 rotates to move and projects the converging cone of excitation light 32b onto Sample Two  
35 41 which in turn emits fluorescent light 42 in a diverging fan of emitted light. After Sample Two 41 has been tested, rotatable mirror 24 rotates to move and project the converging cone of excitation light 32c onto

5 Sample Three 43 which in turn emits fluorescent light 44  
in a diverging fan of emitted light. Rotatable mirror  
24 can be rotated in any suitable sequence and direction  
along its rotating axis in order to analyze a portion of  
or all of the samples.

10 It should be appreciated that sample holder 30 can  
be constructed to hold any suitable number of samples.  
Preferably, the sample holder is configured to hold  
sixteen or fewer samples. Limitations on the number of  
samples include practical considerations such as costs  
15 due to, for example, the size of the mirror, sample  
holder, optical cells or the like. It should be  
appreciated that a mirror having a longer focal length  
may be required as the number of samples increases.  
However, the size of the optical cells may also be  
20 decreased to allow for an increasing number of samples to  
be analyzed such that the samples can be positioned  
within the focal length of the rotating mirror.

As previously discussed, rotatable mirror 24  
collects the fluorescent light emitted by the samples.  
25 It then can transmit the emitted light to one or more  
detectors in a variety of different ways. As illustrated  
in Figures 1, 2 and 3, rotatable mirror 24 transmits the  
fluorescent light through a series of lenses and filters  
before it reaches the detectors. The lenses are used to  
30 adjust the size of the beam of light reflected from the  
sample. The reflected light can include undesirable  
light, such as scattered light, in addition to the  
fluorescence emission. The filters can be used to filter  
out or exclude all or at least a portion of the  
35 undesirable reflected light such that the fluorescent  
signal from each fluorophore is more accurately and  
precisely detected as previously discussed.



5           As shown in Figures 1-3, rotatable mirror 24 transmits the reflected light to the directional mirror 26 which directs it to an emission dichroic filter 46 via the plano convex lens 22, double concave lens 20 and the excitation dichroic filter 14. The emission dichroic  
10 filter 46 is used to separate the fluorescence emission into two selected fluorescence emission bands for detection. Each emission band is passed through a separate emission filter 48 or 50 and plano convex lens 52 or 54 prior to detection by a detector 56 or 58. Each  
15 of detector 56 or 58 generates an output or fluorescent signal representative of the intensity of the fluorescence emission band. The output signal can then be processed by a respective amplifier 60 or 62. Both of amplifier 60 and amplifier 62 are optional to be included  
20 in this fluorometer. An amplifier is only used where it is necessary or desirable to enhance the fluorescent signal prior to its detection.

The ability to detect two different fluorescence emission bands is desirable for a variety of different  
25 applications. For example, the fluorometer can be used to detect the fluorescent signals of fluorophores in a variety of industrial processes and systems. One application of this fluorometer is to monitor the microbiological activity of an industrial process or  
30 system, such as a paper manufacturing process, an industrial water system or the like.

The present invention is not limited to detecting two emission bands from a single sample. For example, a simpler design can be used to detect a single wavelength  
35 emission from a single wavelength excitation derived from a monochromatic excitation light source, such as a light emitting diode (LED), laser or the like. The construction of a fluorometer to detect a single

5 wavelength emission is essentially similar to that of a  
fluorometer that can detect two fluorescence emission  
bands except that the single wavelength detection  
fluorometer does not include the second dichroic filter  
(i.e., emission dichroic filter) which is used to  
10 separate the reflected light into two emission bands at  
right angles to one another.

The present invention can also be designed as a  
multi-wavelength scanning reflectance fluorometer. In  
this configuration, the fluorometer is capable of  
15 detecting fluorescence emission over a spectral range.  
This enables the fluorometer to detect and/or monitor the  
presence of one or more fluorophores which absorb and  
emit different excitation and emission bands of light.

In an embodiment, a polychromatic excitation light  
20 source, such as a xenon lamp, is used to generate a  
spectral range of excitation light which can be  
transmitted or scanned through a monochromator or grating  
prior to reaching the rotating mirror. The collected  
light (i.e., reflected light from the sample which is  
25 collected by the rotating mirror) may be similarly  
processed by a monochromator to separate out the desired  
fluorescence emission. The collected light could  
alternatively be focused onto a fiber optic and then fed  
to a fiber optic-based spectrometer or monochromator. In  
30 other words, both the excitation light and emission light  
can be scanned to enable the fluorometer to detect  
fluorescence emission spectra derived from a number of  
different fluorophores which may be present in each  
sample.

35 It should be appreciated that the mirrors, lenses,  
filters, detectors, amplifiers, and excitation light  
sources can include a variety of different and suitable  
commercially available or known products. For example,

5 the flat mirror (Part No. 01MFG013/23), the off-axis  
paraboloidal mirror (Part No. 02P0A013), the large plano  
convex mirror (Part No. 01LPX129), the double concave  
lens (Part No. 01LDK007), the aspheric lens (Part No.  
01LAG111) and the plano convex lens (Part No. 01LPX061)  
10 are commercially available from Melles Griot, 1770  
Kettering Street, Irvine, CA 92614 (714) 261-5600; the  
excitation filter (Part No. 535DF35), the excitation  
dichroic filter (Part No. 560DRLP), the emission dichroic  
filter (Part No. 630DRLP), the emission filter (Part No.  
15 580DF35) and the emission filter (Part No. 635DF55) are  
commercially available from Omega Optical, P.O. Box 573,  
Brattleboro, VT 05302 (802) 254-2690; the Amplifier  
(Part No. Burr-Brown AFC2101) is a commercially available  
Dual Current Integrator from Burr-Brown, 6730 S. Tucson  
20 Blvd., Tucson, AZ 85706 (520) 746-1111; and the  
detectors, such as photodiodes (S2386-5K), are  
commercially available from Hamamatsu, 360 Foothill Road,  
Bridgewater, NJ 08807 (908) 231-0960.

The present invention can include a variety of  
25 different and additional components for optimizing  
process control, monitoring and automation. In the  
second aspect of the instant claimed invention, the  
fluorometer includes a printed circuit board assembly  
connected to a controller, each of a suitable and known  
30 construction (not shown). For example, a commercially  
available controller suitable for use in the second  
aspect of this invention is available from Tecnova, 1486  
St. Paul Ave., Gurnee, IL 60031 (847) 662-6260.

The printed circuit board (PCB) assemblies useful in  
35 the fluorometer of the second aspect of this invention  
must be fabricated to allow powering by the controller or  
other device of the components of the fluorometer, which  
include, for example, motors for the rotating mirror,

5 drivers for the excitation sources and amplifiers to perform current-to-voltage conversion and signal amplification from the photodetectors. Circuitry to manipulate the signals and communicate the magnitude of the signals is also integral to the PCB. Additional  
10 circuitry to measure the temperature and/or the status of the flowswitch may be included.

The fluorometer can be further connected to the controller by a communication cable that enables the controller to electronically communicate with fluorometer  
15 to control the components of the fluorometer as previously discussed. A suitable communication protocol must be selected in order to operate the fluorometer. Suitable standard communication protocols include, but are not limited to, RS-232, I<sup>2</sup>C, CAN, TCP/IP and a  
20 standard RS-485 serial communication protocol. The preferred communication protocol is a standard RS-485 serial communication protocol. It is also possible to use a wireless communication protocol between the fluorometer and controller. One such suitable wireless  
25 communication protocol is Bluetooth.

The controller can include isolated, multiple analog inputs. These inputs provide information based on their signal magnitude via 4-20 mA connections. The signals are read by the analog inputs for controlling logic of  
30 the controller to provide additional levels of control to, for example, an industrial water system. In a preferred embodiment, the controller has twenty (20) discrete analog inputs.

As stated in the preceding paragraph, the controller  
35 has the capability of processing signals available over a 4-20 mA communication line. These signals can be derived from the fluorometer in addition to other analytical devices. Therefore, the controller is capable of

20

- 5 processing signals from analytical devices that measure  
system factors including, but not limited to:  
pH;  
conductivity;  
oxidation-reduction potential or "ORP";  
10 chemical monitors for species such as calcium, magnesium,  
total hardness, iron, copper, chloride, sulfate,  
manganese, aluminum, silica, alkalinity and ammonia;  
additional chemical monitors of treatment actives such as  
dispersant polymer, zinc, molybdate, phosphate, condensed  
15 inorganic phosphates, phosphonates and triazoles;  
turbidity;  
total suspended solids;  
process leaks;  
free residual and total oxidant/halogen/chlorine;  
20 water temperatures;  
process-side temperatures at various places in the  
system;  
fluid flow rates on the water-side and/or process-side;  
fluid velocities;  
25 fluid pressures and differential pressures on the water-  
side and/or process-side;  
chemical inventories/usage;  
chemical pumping rates;  
blowdown rates;  
30 makeup water rates;  
corrosion monitors;  
fouling/deposit monitors.;  
microbiological indicators; and  
light absorbance of substances in water.

35 In addition to the analog inputs, the controller has  
a sufficient number of analog outputs such that it can  
control other equipment, besides the fluorometer. Thus,  
the controller is capable of operating an entire process

5 or system, such as an industrial water system, paper mill process or the like.

It should be appreciated that a variety of different and number of controllers can be used to facilitate process automation, control and monitoring of a system that uses the fluorometer or a number of the fluorometers of the present invention. For example, a secondary controller can optionally be used to control the rate of additive chemical added to, for example, a process water of an industrial water system that is monitored by the fluorometer of the present invention. The secondary controller, if used, may be linked to the controller as well. Preferably, the secondary controller would be controlling an inert TRASAR® system, with said inert TRASAR® system being commercially available from Nalco.

20 As previously discussed, the fluorometer of the present invention can be used to monitor and detect the presence of one or more fluorophores in a sample removed from any suitable process or system including natural water systems, industrial water systems, paper mill processes or other like sources. The sample can include opaque light scattering materials, such as a suspensions or slurries including, for example, raw materials or coating samples of a paper mill process.

Industrial water systems include, but are not limited to, cooling tower water systems (including open recirculating, closed and once-through systems); petroleum wells, downhole formations, geothermal wells and other oil field applications; boilers and boiler water systems; mineral process waters including mineral washing, flotation and benefaction; paper mill digesters, washers, bleach plants and white water systems; black liquor evaporators in the pulp industry; gas scrubbers and air washers; continuous casting processes in the

5 metallurgical industry; air conditioning and  
refrigeration systems; industrial and petroleum process  
water; indirect contact cooling and heating water, such  
as pasteurization water; water reclamation and  
purification systems; membrane filtration water systems;  
10 food processing streams (meat, vegetable, sugar beets,  
sugar cane, grain, poultry, fruit and soybean); and waste  
treatment systems as well as in clarifiers, liquid-solid  
applications, municipal sewage treatment and industrial  
or municipal water systems.

15 Opaque media suitable for analysis by the instant  
claimed fluorometer include specific slurries and  
colloids and Metal Working Fluids capable of being tested  
by the method of the instant claimed invention, such as,  
but not limited to, those used in the mineral processing  
20 industry, those used in the pulp and paper industry,  
those used in the ceramics industry, those used in the  
coatings industry and any other opaque slurry or opaque  
colloid or opaque Metal Working Fluid used in a natural  
or in an industrial process.

25 An operator would necessarily expend less time,  
effort and handling of the samples in testing a series of  
samples using the fluorometer of the present invention as  
compared to a one-channel-sample fluorometer (i.e., a  
fluorometer that requires the sample, after it has been  
30 tested, to be physically removed and replaced by a next  
sample before the next sample can be tested). In this  
regard, the fluorometer of the present invention is well  
suited for testing fragile or mixing-sensitive materials,  
such as thin films or layered suspensions. Further, the  
35 ease of operation of the fluorometer of the present  
invention for testing multiple samples makes it desirable  
and/or suitable for field use applications.

5           The fluorometer of the present invention can include  
a variety of different components fashioned in any  
acceptable configuration. It can be configured in a  
number of custom configurations to suit a particular  
application including, for example, the number of samples  
10 analyzed, the range of excitation and emission  
wavelengths, the rate of data collection, one-dimensional  
scanning of sample surfaces, optimization of fluorescence  
to scattering-intensity ratios and the like.

          The fluorometer of the present invention can be used  
15 in a variety of different industrial water system  
applications as disclosed, for example, in the following  
U.S. patent applications.

          The instant claimed fluorometer and controller are  
capable of functioning to control a cooling water system,  
20 as described and claimed in U.S. Patent Application  
09/562,397, entitled USE OF CONTROL MATRIX FOR COOLING  
WATER SYSTEMS CONTROL, filed May 1, 2000, now pending,  
which is herein incorporated by reference in its  
entirety.

25           The instant claimed fluorometer and controller are  
capable of functioning to control a boiler, as described  
and claimed in U.S. Patent Application 09/563,085,  
entitled USE OF CONTROL MATRIX FOR BOILER CONTROL, filed  
May 1, 2000, now pending, and U.S. Patent Application  
30 09/737,257, also entitled USE OF CONTROL MATRIX FOR  
BOILER CONTROL, filed December 13, 2000, which are both  
herein incorporated by reference in their entirety.

          In addition to the above described method, the  
fluorometer of the instant claimed invention is capable  
35 of being used in conducting the method described and  
claimed in a pending United States Patent Application,  
MEASUREMENT AND CONTROL OF SESSILE AND PLANKTONIC  
MICROBIOLOGICAL ACTIVITY IN INDUSTRIAL WATER SYSTEMS,



5 U.S. Patent Application Serial No. 09/475,585, filed 30  
December 1999, and herein incorporated by reference in  
its entirety. When using the instant claimed fluorometer  
to conduct the method described and claimed in U.S.  
Patent Application Serial No. 09/475,585, it will be  
10 necessary to configure it so that the fluorescent signal  
of the unreacted fluorogenic dye and the reacted  
fluorogenic dye can both be measured and used to  
calculate the requisite ratio.

The fluorometer of the present invention can be used  
15 to monitor and optionally control the microbiological  
activity of an opaque medium such as an opaque slurry or  
opaque colloid or certain opaque Metal Working Fluids.

Certain opaque mediums include process streams  
derived from a process stream of a paper mill. For  
20 example, the fluorometer can be used to detect the  
microbiological activity of raw materials or coating  
samples of a paper mill process. This can be used to  
determine whether the raw material or coating samples  
exhibit a level of microbiological activity such that  
25 they cannot be used in the process or that treatment is  
required prior to use.

In an embodiment, a Fluorogenic Dye can be used to  
facilitate the monitoring of microbiological activity.  
The Fluorogenic Dye can include a number of components,  
30 such as resazurin and resorufin, that can be  
fluorometrically measured to monitor the activity as  
previously discussed.

The instant claimed fluorometer can be used in the  
method described and claimed in co-filed, U.S. Patent  
35 Application Serial No. \_\_\_\_\_, entitled, "FLUORESCENT  
MEASUREMENT OF MICROBIOLOGICAL ACTIVITY IN AN OPAQUE  
MEDIUM, filed concurrently with this patent application

25

5 on June 28, 2001, Attorney Docket No. 5689 which is  
incorporated by reference in its entirety.

The following example is presented to be  
illustrative of the present invention and to teach one of  
ordinary skill how to make and use the invention. This  
10 example is not intended to limit the invention or its  
protection in any way.

Example

5

A laboratory test was conducted to demonstrate that the fluorometer of the present invention can be used to monitor microbiological activity, particularly as it relates to microbiological activity of raw materials or coating samples of a paper mill process. To simulate such process, nine samples of an opaque medium were prepared to simulate the opaque light scattering nature of the raw materials or coating samples of the paper mill process. Each sample was ten milliliters ("ml") in size and placed within a cylindrical glass tube. To each sample, 25 ppm of a Fluorogenic Dye was added. The Fluorogenic Dye contained varying known amounts of resazurin and resorufin in each sample to simulate various levels of microbial activity as described in as described and claimed in the previously incorporated by reference U.S. Patent Application entitled, "FLUORESCENT MEASUREMENT OF MICROBIOLOGICAL ACTIVITY IN A SLURRY OR COLLOID. The data are included below in Table 1.

Once prepared, each sample was placed in a mirror fluorometer to detect the presence of the dye components. The fluorometer used was constructed like the fluorometer depicted in Figures 1 and 2. This type of configuration was desirable for detecting the presence of both the resazurin and resorufin in a single sample. Both resazurin and resorufin are excited by a collimated beam of excitation light of approximately 525 nm. Resazurin and resorufin emit fluorescent light at different emission bands. In particular, a light-emitting diode with emission centered at 525 nm with a current of 20 mA was used. In addition, a dichroic emission filter was used to selected to separate the resazurin fluorescence emission at 635 nm and the resorufin fluorescence

5 emission at 580 nm. The intensity of each fluorescent signal emission was detected and measured by a separate detector.

As indicated below in Table 1, the intensity ratio, i.e., the ratio of the intensity of Resorufin emission ("I<sub>580</sub>") (aka "Reacted Fluorogenic Dye" from Attorney Docket No. 5689) to the intensity of the resazurin emission ("I<sub>635</sub>") ("Fluorogenic Dye" from Attorney Docket No. 5689) increased as the amount of resorufin in the dye increased:

15

Table 1

Resorufin (wt %)	Calculated Intensity RATIO (I <sub>580</sub> /I <sub>635</sub> )
0	0.3677
10	1.416
20	1.665
30	1.798
40	1.886
50	1.958
60	2.076
80	2.253
100	2.493

The above results of Table 1 demonstrate that there exists a correlation between a change in the intensity ratio with respect to a change in the concentration of resorufin. Thus, by measuring the fluorescent emissions of resorufin and resazurin, the amount of biological activity can be quantified.

As previously discussed, the amount of resorufin increases as the amount of microbiological activity increases due to the fact that resazurin reduces to resorufin in the presence of microbiological organisms.

5 Thus, an increase in the intensity ratio indicates the presence of more resorufin and thus the presence of increased biological activity.

Based on these results, the fluorometer of the present invention can be used to detect or monitor  
10 microbiological activity of one or more samples.

It should be understood that various changes and modifications to the presently preferred embodiments described herein will be apparent to those skilled in the art. Such changes and modifications can be made without  
15 departing from the spirit and scope of the present invention and without diminishing its attendant advantages. It is therefore intended that such changes and modifications be covered by the appended claims.

CLAIMS

5

1. A fluorometer comprising:

an excitation light source for generating a collimated beam of excitation light;

10 a rotatable mirror positioned such that it is capable of accepting a collimated beam of light from said excitation light source and projecting a converging cone of excitation light onto one or more samples;

a sample holder comprising one or more channels, wherein each channel is capable of holding an optical  
15 cell containing a sample; and

a detector capable of detecting the fluorescent signals from fluorophores presents in said one or more samples.

2. A fluorometer comprising:

20 an excitation light source for generating a collimated beam of excitation light;

a rotatable mirror positioned such that it is capable of accepting a collimated beam of light from said excitation light source and projecting a converging cone  
25 of excitation light onto one or more samples;

a sample holder comprising one or more channels, wherein each channel is capable of accepting an optical cell containing a sample;

a detector capable of detecting the fluorescent  
30 signals from fluorophores presents in said one or more samples; and

a controller that uses the fluorescent signals detected by said fluorometer for monitoring and optionally control of the natural or industrial process  
35 from which the samples are taken.

3. A method of fluorometrically detecting fluorophores present in one or more samples, the method comprising the steps of:

- 5           a)     providing a fluorometer, wherein said  
fluorometer comprises  
            an excitation light source for generating a  
collimated beam of excitation light;  
            a rotatable mirror positioned such that it is  
10   capable of accepting a collimated beam of light from said  
excitation light source and projecting a converging beam  
excitation light onto one or more samples;  
            a sample holder comprising one or more channels,  
wherein each channel is capable of accepting an optical  
15   cell containing a sample;  
            a detector capable of detecting the fluorescent  
signals from fluorophores presents in said one or more  
samples; and optionally a controller that uses the  
fluorescent signals detected by said fluorometer for  
20   monitoring and optionally control of the natural or  
industrial process from which the samples are taken;  
            b)     providing one or more samples from a natural or  
industrial process stream; and  
            using said fluorometer to detect the fluorescent  
25   signals of said fluorophores in said samples.

4.     The fluorometer of claim 1 wherein the mirror  
is capable of rotating about a 360 degree axis.

5.     The fluorometer of claim 1 wherein the mirror  
30   is an off-axis paraboloidal mirror.

6.     The fluorometer of claim 1 wherein the sample  
holder has an opening through which the mirror is  
positioned to project the collimated beam of excitation  
light onto the optical cell.

35     7.     The fluorometer of claim 6 wherein the optical  
cell comprises a cylindrical glass tube.

8.     The fluorometer of claim 6 wherein the optical  
cell comprises a flow cell for on-line testing.

5           9.    The fluorometer of claim 1 further comprising a directional mirror positioned to direct the collimated beam of excitation light onto the rotatable mirror positioned such that it is as the mirror rotates.

10           10.   The fluorometer of claim 1 wherein the excitation light source includes a monochromatic light source or a polychromatic light source.

          11.   The fluorometer of claim 1 wherein the sample is derived from raw materials or coating samples of a paper mill process.

15           12.   The fluorometer of claim 2 wherein the controller comprises one or more isolated analog inputs and outputs such that the controller is capable of using the fluorescent signal and the other analog inputs to monitor and optionally control an industrial water  
20   system.

          13.   The fluorometer of claim 12 wherein the controller processes the fluorescent signal for monitoring and optionally controlling microbiological activity of raw materials or coating samples derived from  
25   a paper mill process.

          14.   The fluorometer of claim 1 wherein the optical cell comprises a flow cell for on-line monitoring and optional control.

          15.   The method of claim 3 wherein the detected  
30   fluorescent signals are of fluorophores present in samples of raw materials or coating samples from a paper mill process.



FIG. 1

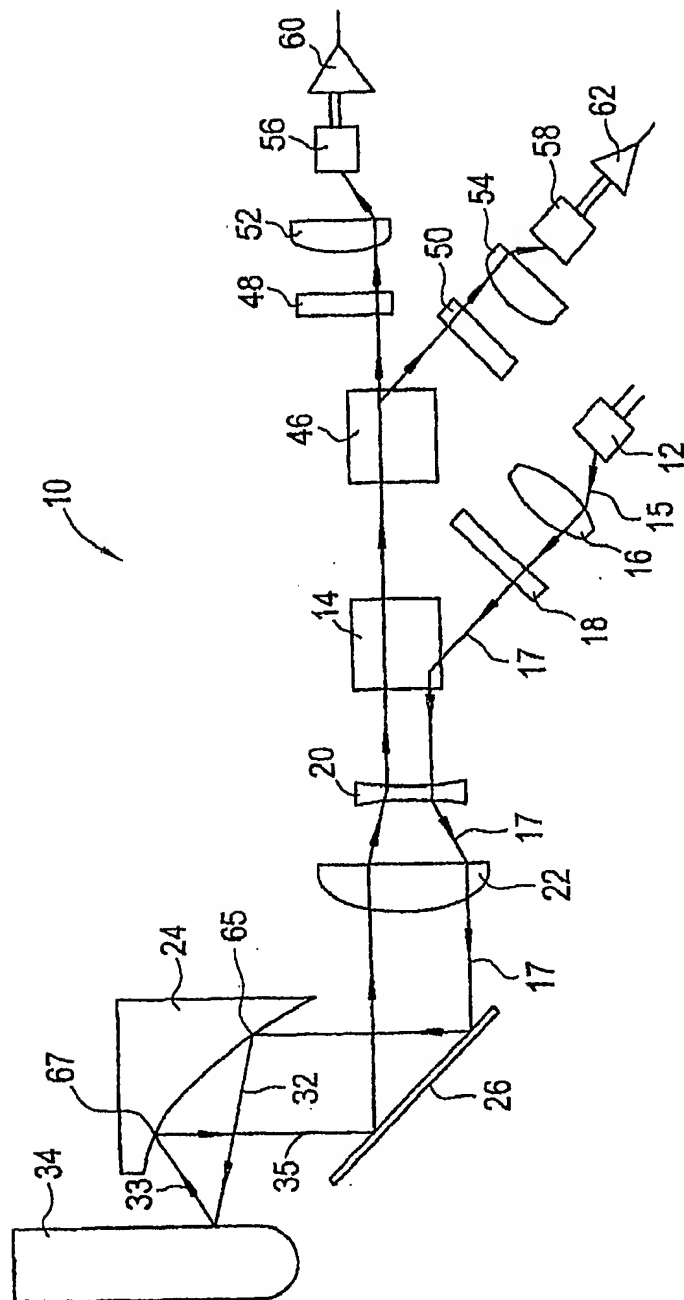


FIG. 2

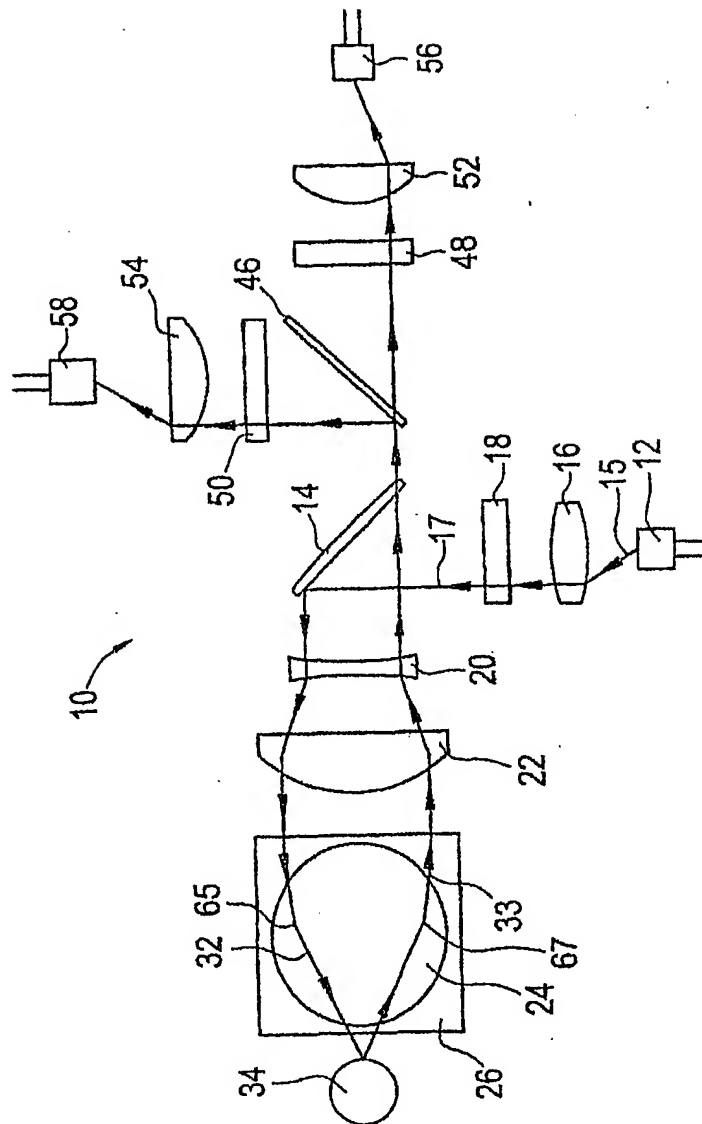


FIG. 3

